

1 **Development of Microelectrode Arrays for Artificial Retinal**
2 **Implants using Liquid Crystal Polymers**

3

4 Seung Woo Lee^{1,3,4}, Jong-Mo Seo^{1,3,4}, MD, Seungmin Ha^{2,3,4}, MD, Eui Tae Kim^{1,3,4},

5 Hum Chung^{2,3,4}, MD and Sung June Kim^{1,3,4}, PhD

6

7 ¹School of Electrical Engineering and Computer Science

8 ²Department of Ophthalmology, Seoul National University College of Medicine and

9 ³Seoul Artificial Eye Center

10 ⁴Nano Bioelectronics & Systems Research Center (NBS-ERC)

11 Seoul National University, Seoul, Korea

12

13

14 Corresponding Author:

15 Sung June Kim, PhD

16 Address: Bldg. 301 Room# 1006, Seoul National University, San 56-1, Shinlim-dong,

17 Gwanak-gu, Seoul, 151-742, Korea

18 Mail: kimsj@snu.ac.kr

19 Tel: +82-2-880-1812

20 Fax: +82-2-882-4158

Abstract

1

2

3 **Purpose:** To develop a liquid crystal polymer (LCP) based, long-term implantable,
4 retinal stimulation microelectrode array using a novel fabrication method.

5 **Methods:** The fabrication process used laser micromachining and customized thermal-
6 press bonding to produce LCP based microelectrode arrays. To evaluate the fabrication
7 process and the resulting electrode arrays, *in vitro* reliability tests and *in vivo* animal
8 experiments were performed. The *in vitro* tests consisted of electrode site impedance
9 recording and electrode inter-layer adhesion monitoring during accelerated soak tests.

10 For *in vivo* testing, the fabricated electrode arrays were implanted in the suprachoroidal
11 space of rabbit eyes. Optical coherence tomography (OCT) and electrically evoked
12 cortical potentials (EECPs) were used to determine long-term biocompatibility and
13 functionality of the implant.

14 **Results:** The fabricated structure had a smooth, rounded edge profile and exhibited
15 moderate flexibility, which are advantageous features for safe implantation without
16 guide tools. Following accelerated soak tests at 75°C in phosphate buffered saline, the
17 electrode sites showed no degradation and the inter-layer adhesion of the structure
18 showed acceptable stability for more than 2 months. The electrode arrays were safely

1 implanted in the suprachoroidal space of rabbit eyes, and EECF waveforms were
2 recorded. Over a 3-month postoperative period, no chorioretinal inflammation or
3 structural deformities were observed by OCT and histological examination.

4 **Conclusions:** LCP based flexible microelectrode arrays can be successfully applied as
5 retinal prostheses. The results demonstrate that such electrode arrays are safe,
6 biocompatible, mechanically stable, and can be effective as part of a chronic retinal
7 implant system.

8

9 **Keywords:** Liquid crystal polymer (LCP), microelectrode array, retinal prosthesis,
10 blister test, optical coherence tomography, electrically evoked cortical potentials.

11

12

1. Introduction

1

2

3 Electrical stimulation of the remaining retinal neurons of patients with
4 degenerated photoreceptors has been studied as a potential method for the provision of
5 artificial vision. To transfer and control such electrical stimulation, several research
6 groups have developed polymer-based flexible microelectrode arrays.¹⁻⁴ To date, several
7 polymer materials, including polyimide, parylene, and silicone, have been used as
8 substrate materials for retinal stimulation electrode arrays. These polymers are thin,
9 flexible, and biocompatible, *i.e.*, suitable characteristics for minimally invasive retinal
10 electrode arrays. Although retinal stimulation electrode arrays fabricated on these
11 polymers have been reported to be safe and effective in previous *in vivo* and *in vitro*
12 studies, including animal and human trials,¹⁻⁴ there is controversy about the long-term
13 reliability of the polymers. These concerns are related to the polymers' relatively high
14 water absorption and unstable interfacial adhesion properties in aqueous environments.⁵⁻

15 7

16 Liquid crystal polymers (LCPs) are flexible, mechanically stable, and
17 biocompatible materials that have very low moisture absorption (<0.04%) when
18 compared to polyimide, parylene, and silicone.⁸⁻¹⁴ LCPs exhibit excellent barrier

1 properties against various chemicals and can be thermally bonded to each other without
2 adhesives.⁸⁻¹⁵ Because of their high reliability under harsh environmental conditions,
3 LCPs have been investigated as long-term reliable substrate materials for high
4 performance printed circuit boards,^{8,15} micro-electromechanical system sensors,¹⁰ and
5 neural prostheses.^{11,12}

6 In this paper, we report the development of a novel retinal stimulation
7 microelectrode array using LCPs and report on the electrode array's performance during
8 *in vitro* and *in vivo* experiments. A simplified fabrication process for such LCP based
9 microelectrode arrays is also introduced.

10

11

2. Materials and Methods

12

2.1. Fabrication process

14

15

16

17

18

The fabrication process, which uses laser micromachining and customized thermal-press bonding, is shown in Fig. 1(a). Briefly, a 25 μm thick low melting temperature (280°C) LCP (Vecstar FA-25N, Kuraray Co., Ltd., Tokyo, Japan) film and a 25 μm thick high melting temperature (315°C) LCP (Vecstar OCL-25N, Kuraray) film were cut into 100 mm diameter circles using a UV laser drilling system (Flex5330,

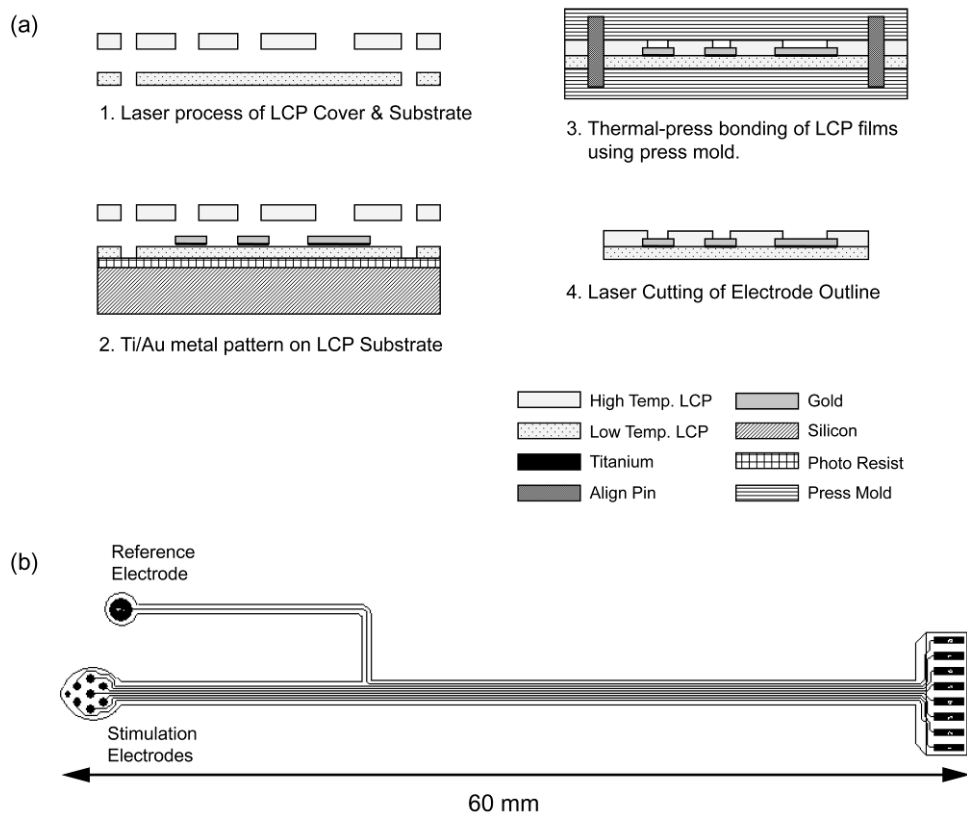
1 Electro Scientific Industries, Inc., Portland, OR, USA) to create a substrate and a cover,
2 respectively. In the laser machining process, alignment marks were engraved on both
3 the substrate and cover pieces, and electrode site windows (500 μm diameter for the
4 stimulation sites and 1400 μm diameter for a reference site) were created in the cover.
5 The machined substrate LCP was attached to a similar sized silicon wafer, using
6 photoresist (AZ4620, AZ Electronic Materials, Luxembourg, Luxembourg) as an
7 adhesive, before undergoing additional processes that required planar surface
8 properties.^{10-12, 14}

9 Subsequently, titanium (100 nm thick), gold (400 nm thick), and/or titanium
10 (100 nm thick) layers were consecutively deposited on the LCP substrate by a sputter
11 machine (ALPS-C03, Alpha Plus Co., Ltd., Pohang, Korea). The Ti layers form
12 biocompatible adhesion layers between the electrode site metals (Au, Pt and IrO_x) and
13 the LCP films.^{11,12,14} Prior to metal patterning, photoresist (AZ1512, AZ Electronic
14 Materials) was spin-coated on the metal-bearing substrate. Photolithography was then
15 performed using a mask aligner machine (MA6/BA6, SUSS MicroTec, Garching,
16 Germany). Subsequently, the metal microelectrode patterns were created by a
17 conventional wet etching process.

18 After the patterning process, the substrate was released from the silicon wafer

1 using acetone. The cover was then positioned on the substrate using the alignment
 2 marks, and the pair placed into a custom aluminum mold, comprising 100 mm diameter
 3 planar plates and four alignment pins. Thermal-press bonding¹⁵ was performed at 300
 4 psi (2.1 MPa) and 285°C for 45 min using a heated press (Model CH, Press no. 4386,
 5 Carver, Inc., Wabash, IN, USA). Subsequently, the laminated structure was cut into the
 6 final microelectrode array shape (Fig. 1(b)) using the aforementioned UV laser
 7 machining system (Electro Scientific Industries).

8



9

10 Figure 1. (a) Representative schematic of LCP based microelectrode array fabrication

1 process. Laser machining was utilized for patterning the substrate and cover films, and
2 for cutting the electrode array outlines. Thermal-press bonding was performed to create
3 the LCP multi-layered structure. Total thickness of the structure is controllable from 50
4 to 75 μm with a 25 μm -thick additional substrate. (b) Schematic diagram of LCP based
5 retinal electrode array. This structure has 7 stimulation sites and 1 reference site. The
6 diameters of the stimulation site and reference site windows are 500 μm and 1400 μm ,
7 respectively.

8

9 **2.2. *In vitro* Reliability Tests**

10 To evaluate the reliability of polymer based electrode arrays, various testing
11 methods have been used.^{6,7} For electrical reliability testing, electrical leakage current
12 measurement between adjacent leads has been used.⁷ For mechanical reliability testing,
13 inter-layer adhesion strengths have been measured.⁶ We considered both of these testing
14 methods to evaluate the overall reliability of the fabricated microelectrode arrays;
15 however, in this paper we focused on tests that indicate long-term structural reliability.

16 To assess the long-term structural reliability of the LCP based electrode arrays
17 within a relatively short time, electrode site impedance and adhesion strength of the
18 LCP multi-layered structures were monitored during *in vitro* accelerated (75°C) and

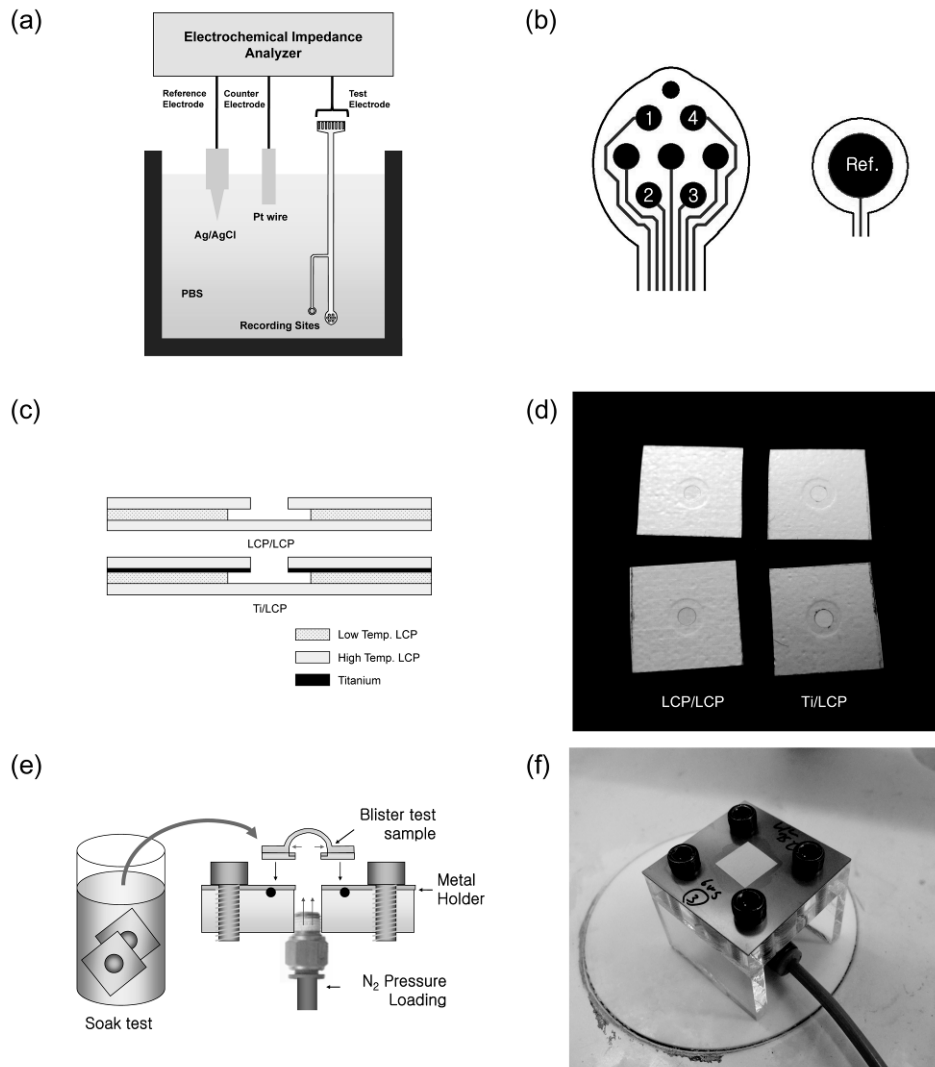
1 non-accelerated (37°C) soak tests. The soak tests were performed in phosphate-buffered
2 saline (PBS) solution (Gibco #10010, Invitrogen Life Technologies, Carlsbad, CA,
3 USA). Monitoring of electrode site impedance provided information on electrical
4 connectivity and the exposed site metal status. We selected 5 sites (stimulation channels
5 1, 2, 3, 4 and the reference electrode; Fig. 2(b)) among the 8 available sites, and during
6 the soak tests regularly measured their impedance (magnitude) at 1 kHz 5 mV
7 amplitude sine waveform using an impedance analyzer (IM6e, Zahner-Elektrik,
8 Kronach, Germany), as shown in Fig. 2(a).

9 Monitoring of adhesion strength provided information about the durability of
10 the multi-layered structure. The adhesion strength was measured using a customized
11 blister test. To compare the results, previously reported data of polyimide adhesion
12 strengths, comprising polyimide/polyimide and titanium/polyimide interfaces, were
13 used. (Lee SW, et al. *IOVS* 2007;136:ARVO E-Abstract 664). Fig. 2(c) shows a cross
14 section of the structure of the blister test samples, which were fabricated in a similar
15 manner as the structure of the aforementioned LCP based microelectrode arrays. The
16 tested structures consisted of bonded high and low melting temperature LCPs with
17 metal at the LCP interface. As a Ti layer was used as the adhesion layer in the Au
18 microelectrode arrays, we focused on testing LCP/LCP and Ti/LCP interface adhesions.

1 The LCP/LCP sample consisted of a high melting temperature LCP substrate, a low
2 melting temperature LCP inter-layer and a high melting temperature LCP cover (Fig.
3 2(c)). On the inter-layer and the cover, \varnothing 4 mm and \varnothing 2 mm holes, respectively, were
4 created by UV laser machining (Electro Scientific Industries). The Ti/LCP sample
5 consisted of the same LCP/LCP structure, but with a Ti (100 nm) layer deposited on the
6 LCP cover.

7 A conceptual diagram of the customized blister test is presented in Fig. 2(e).
8 Subsequent to soak testing, adhesion strength was measured as the critical pressure
9 (MPa) which can initiate crack propagation between the films. To perform the blister
10 test, the cover side of the sample is attached to a metal holder, which had a \varnothing 4 mm hole,
11 using an acrylate adhesive (Uni-401, Dong Sung Uni-Tech, Pocheon, Korea) with a
12 bonding strength of 200 kg/cm^2 (19.6 MPa). The metal holder was positioned in the
13 apparatus (Fig. 2(f)), and pressure was applied to the sample using N_2 gas supplied
14 through the \varnothing 4 mm hole in the metal holder. The applied pressure was controlled by a
15 precision regulator (Harris Products Group, Mason, OH, USA). The maximum available
16 pressure was 1.1 MPa. During the crack initiation test, changes in blister diameter and
17 height were monitored with two charge coupled device (CCD) cameras (MTV-7266ND,
18 Mintron Enterprise Co., Taipei, Taiwan).

1



2

3 Figure 2. *In vitro* reliability tests: (a) schematic diagram of electrode site impedance
 4 measurement apparatus, (b) schematic diagram of electrode site arrangement showing
 5 channel numbers 1-4 and the reference electrode, (c) cross sectional diagram showing
 6 layers in the blister test samples for determination of adhesion strength between
 7 LCP/LCP and Ti/LCP interfaces, (d) photographs of samples for blister testing, (e)

1 conceptual diagram of soak and blister testing process, and (f) photograph of blister test
2 apparatus.

3

4 **2.3. *In vivo* Animal Experiments**

5 To demonstrate the application feasibility of the fabricated electrode arrays, *in*
6 *vivo* animal experiments were performed using New Zealand White rabbits. All
7 procedures conformed to the Association for Research in Vision and Ophthalmology
8 (ARVO) Statement for the Use of Animals in Ophthalmic and Vision Research. General
9 anesthesia was induced by intramuscular injection of tiletamine/zolazepam (Zoletil,
10 Virbac Laboratories, Carros, France) and xylazine (Rompun, Bayer AG, Leverkusen,
11 Germany) in a 1:1 mixture. The rate of injection was 0.6 mL/kg. A conjunctival incision
12 was done along the limbus at the 1 o'clock position, and a 4 mm scleral incision parallel
13 to the limbus was made with a crescent knife (Sharptome 74-1010, Surgical Specialties
14 Co., Reading, PA, USA). The fabricated LCP microelectrode arrays were implanted into
15 the suprachoroidal space under panfundusopic examination assisted by an indirect
16 contact lens (Quad Pediatric, Volk Optical Inc., Mentor, OH, USA) in order to locate the
17 electrode array under the visual streak adjacent to the optic disc. The external part of the
18 electrode array was stabilized by placement over the sclera and under the extraocular

1 muscles, similar to the fixation achieved in circumferential scleral buckling.

2 After implantation, electrophysiological tests were performed to determine
3 electrode array functionality. Biphasic current stimulation pulses were applied to the
4 rabbit's retina through the electrode arrays and electrically evoked cortical potentials
5 (EECPs) were simultaneously recorded from a stainless needle electrode (Rochester
6 Electro-Medical Inc., Tampa, FL, USA) in the visual cortex using a multi-channel
7 neuro-physiological workstation (Tucker-Davis Technologies, Alachua, FL, USA).
8 These EECPs recordings were acute and performed using a previously reported
9 system.¹⁸ Briefly, the recording electrode (a single needle electrode) was inserted into a
10 fine hole drilled in the skull (without craniotomy). The hole was located 6 mm anterior
11 and 4 mm contralateral to lambda, an area previously reported as a good position for
12 EECPs recordings.¹⁸ The reference electrode (a single needle electrode) was inserted
13 into a hole located 20 mm anterior to the lambda. The counter electrode (ground
14 electrode) was inserted in the ipsilateral ear.

15 For the long-term biocompatibility and stability evaluation, the LCP
16 microelectrode arrays were implanted in five rabbit eyes and monitored using optical
17 coherence tomography (OCT; Cirrus OCT, Carl Zeiss, Dublin, CA, USA.) for 3 months.
18 During the test period, fundus examinations were performed to evaluate any

1 inflammatory changes or other complications in vitreous and retinal areas. After 4
2 months, two rabbits were sacrificed and their eyes were enucleated to determine cataract
3 or other morphological changes in the eye. Histological changes in the retina were
4 evaluated using light microscopy and a hematoxylin-eosin stain.

5

6

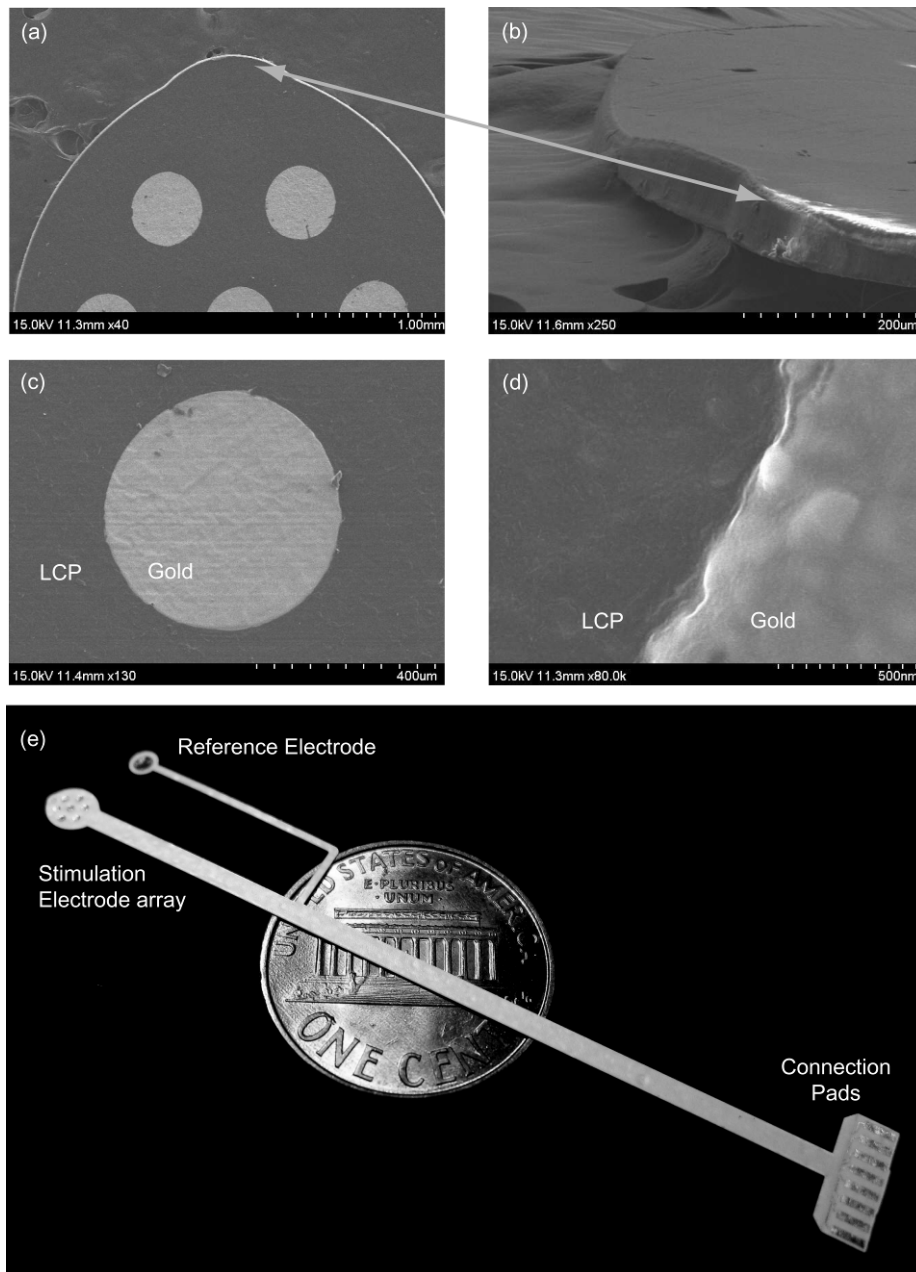
3. Results

7

8 3.1. Fabrication Results

9 The LCP based microelectrode arrays were fabricated using the aforementioned
10 process and their morphologies were examined with a field emission scanning electron
11 microscope (FE-SEM; S-4800 UHR FE-SEM, Hitachi High-Technologies, Tokyo,
12 Japan). Fig. 3 shows the array outline, the Au electrode site windows, the LCP cover
13 surface, and the overall structure. The FE-SEM images indicate that laser cutting
14 produced a smooth, rounded edge on the array outline (Fig. 3(a), (b)). The Au electrode
15 site/LCP window edges were smooth, distinct, and without misalignment (Fig. 3(c), (d)).
16 In addition, no burrs and residues were observed in the surrounding areas.

17



1

2 Figure 3. Photographs of LCP based Au microelectrode array: (a) FE-SEM image of
 3 microelectrode array (Top view) and (b) oblique view of a portion of the array edge, (c)
 4 500 μm diameter Au site and (d) a portion of the Au site window edge. Laser machined
 5 site windows and structure outlines exhibited clear, smooth, and rounded edge features.

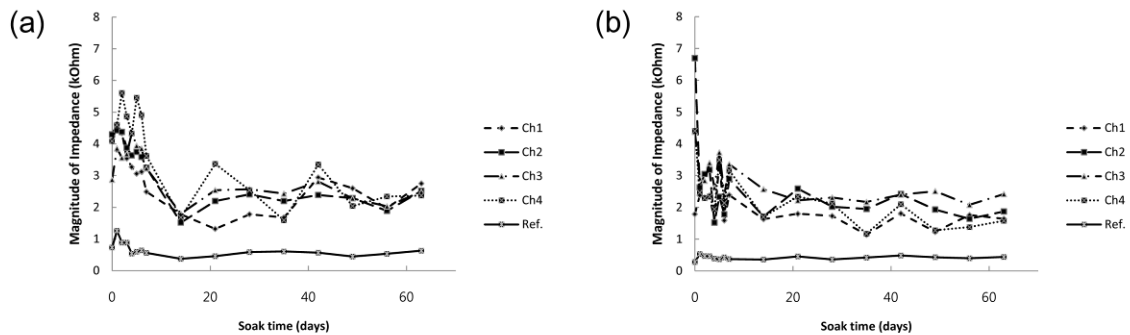
1 (e) a photograph of the overall structure.

2

3 **3.2. *In vitro* Reliability Tests**

4 The site impedance of the fabricated microelectrode array was monitored
5 during 9 week soak tests at 37°C and 75°C. For the first week, impedance was measured
6 daily, and in the remaining weeks impedance sampling was performed once a week. As
7 shown in Fig. 4, the electrode impedance showed initial drop before reaching steady
8 values. The impedance from the 75°C soak stabilized more quickly than that from the
9 37°C soak. Such decrease of impedance has been observed by other groups who
10 employed various types of neural probes¹⁹⁻²² and the change has been attributed to
11 metal-fluid interface equilibration.²¹ This change could have been accelerated at higher
12 temperature. After the stabilization period, the impedance of each of the electrode sites
13 was maintained over the 8 weeks and there was no marked differences between the soak
14 test results from the two soak temperatures (Fig. 4). These results showed that the
15 electrical connections of all test channels were sustained, and indicated that the exposed
16 electrode sites on LCP were well preserved during the test period.

17



1

2 Figure 4. Electrode site impedance monitoring data: (a) magnitude of impedance of Au
 3 electrodes on LCP under 37°C PBS soak test, and (b) magnitude of impedance at 75°C.

4 The electrode impedance showed initial drop before reaching steady values. The
 5 impedance from the 75°C soak stabilized more quickly than that from the 37°C soak.

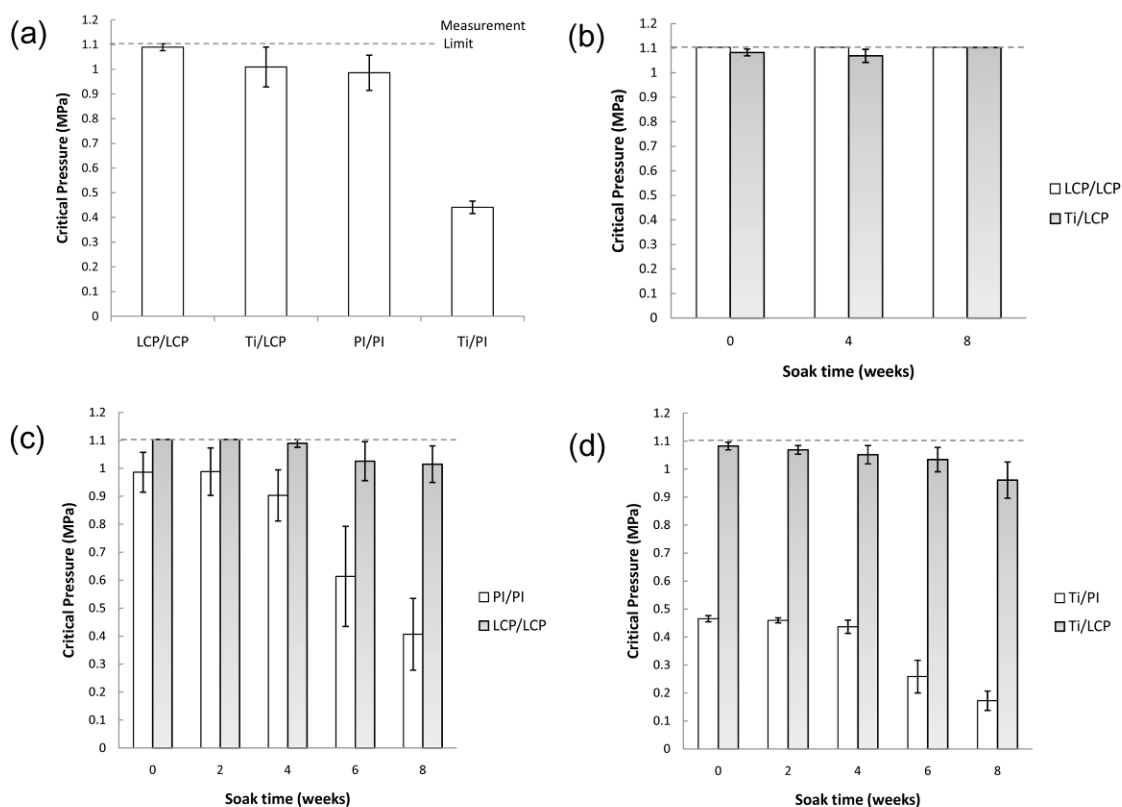
6 After the stabilization period, the impedance of each of the electrode sites was
 7 maintained over the 8 weeks and there was no marked differences between the soak test
 8 results from the two soak temperatures.

9

10 The blister test results (Fig. 5) showed that the LCP/LCP and Ti/LCP adhesions
 11 were strong and reliable in comparison to polyimide/polyimide (PI/PI) and
 12 titanium/polyimide (Ti/PI) during 8 week soak tests. Initial adhesion strength data
 13 revealed that the LCP/LCP (1.0897 ± 0.0138 MPa) and Ti/LCP (1.0097 ± 0.0807 MPa)
 14 interfaces were stronger than the PI/PI (0.9862 ± 0.0712 MPa) and Ti/PI (0.4414 ± 0.0253
 15 MPa) interfaces (Fig. 5(a)). In non-accelerated soak tests at 37°C the LCP/LCP and

1 Ti/LCP interfaces showed no change in adhesion strengths (Fig. 5(b)) during the 8 week
 2 test period. In the accelerated soak tests at 75°C, during the same test period the PI/PI
 3 and Ti/PI adhesion strengths markedly decreased by 58.7% and 63%, respectively (Lee
 4 SW, et al. *IOVS* 2007;136:ARVO E-Abstract 664), but the LCP/LCP and Ti/LCP
 5 adhesion strengths decreased by only 8.1% and 11.5%, respectively.

6



7

8 Figure 5. Blister test results: (a) initial adhesion strengths without soaking, (b) adhesion
 9 of LCP/LCP and Ti/LCP interfaces under 37°C PBS soak test, (c) adhesion of LCP/LCP
 10 and PI/PI interfaces under 75°C PBS soak test, and (d) adhesion of Ti/LCP and Ti/PI

1 interfaces under 75°C PBS soak test. The measurement limit (1.1 MPa) was the upper
2 limit of applied pressure in the test apparatus. Error bars represent ± 1 standard error (N
3 = 5). The data show that the LCP/LCP and Ti/LCP interfaces were stronger and more
4 reliable than the PI/PI and Ti/PI interfaces during the 8 week soak test.

5

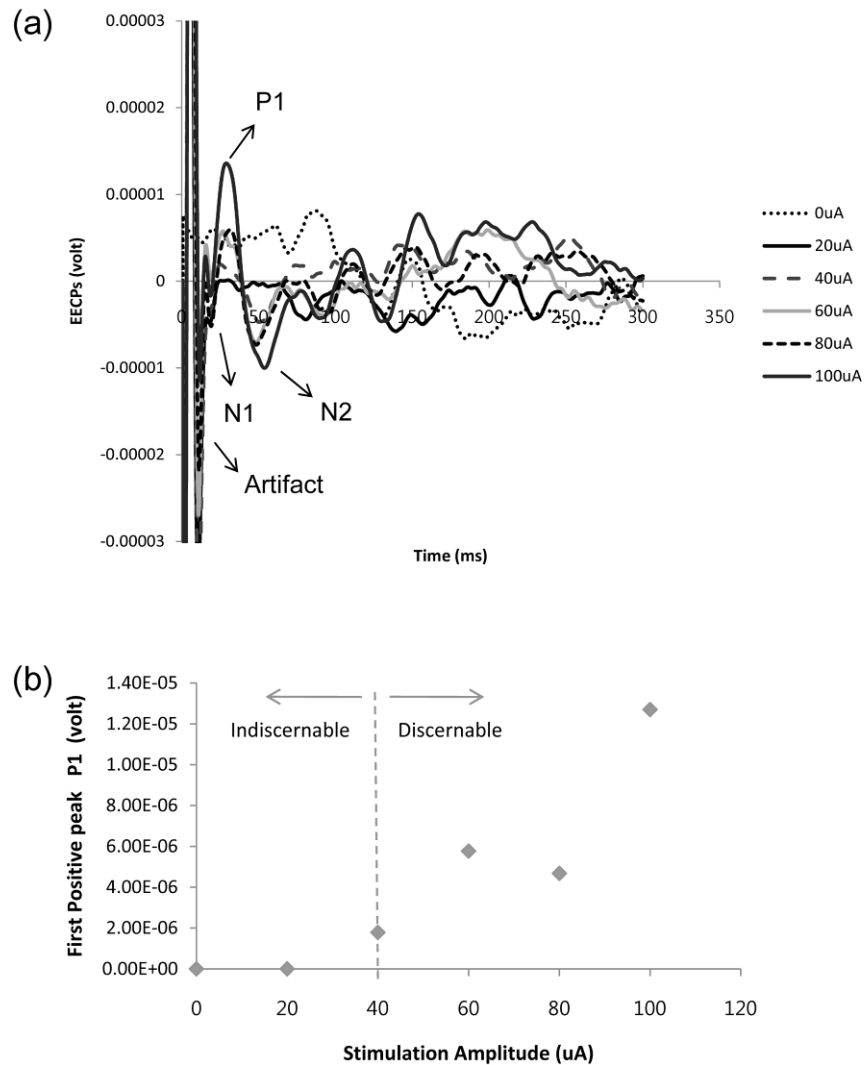
6 **3.3. *In vivo* Animal Experiments**

7 The fabricated microelectrode arrays were successfully implanted in the supra-
8 choroidal space of the rabbit eyes. During insertion, no guide tools were needed because
9 the fabricated structure exhibited an adequate amount of flexibility. The stimulation
10 sites were successfully located near the retina's visual streak, and the reference site was
11 located at the outer wall of the sclera.

12 Acute *in vivo* electrical stimulation experiments were performed to record the
13 EECPs from the rabbit visual cortex. Cathodic-first biphasic current pulses of 0–100 μ A
14 amplitude, 1 ms duration, and 1 Hz period with 1 ms inter-phase delay were applied
15 between the four stimulation sites and the reference site (Fig. 2(b)), and EECPs
16 waveforms were simultaneously recorded (Fig. 6(a)). The waveforms exhibited the
17 typical characteristics of EECPs, which have discernable negative and positive waves
18 following the stimulus artifact components. The threshold current amplitude was

1 estimated at about 40 μA under four-channel simultaneous stimulation (40 μA \times 4
2 channels simultaneously) and the threshold charge density was calculated as 20.4
3 $\mu\text{C}/\text{cm}^2$ (500 μm diameter). Because the stimulus artifact (Fig. 6(a)) component might
4 have distorted and/or reduced the amplitude of the negative wave (N1 in Fig. 6(a)), the
5 implicit time of the first negative peak was estimated at <16 ms. The first positive peak
6 (P1 in Fig. 6(a)) was clearly observed and its implicit time was 26 ms. This relatively
7 slow wave is similar to those observed in previous suprachoroidal stimulations^{17,18} and
8 clearly different from that resulting from a stimulus artifact. In addition, the first
9 positive peak (P1) amplitude had a nearly linear relationship with the stimulation
10 amplitude (Fig. 6(b)).

11



1

2 Figure 6. EECPs recording: (a) Representative EECP waveforms measured in visual

3 cortex of a rabbit, (b) relationship between stimulation intensity and the first positive

4 peak amplitude. The first positive peak (P1) was clearly observed and its implicit time

5 was 26 ms. And, P1 had a nearly linear relationship with the stimulation amplitude.

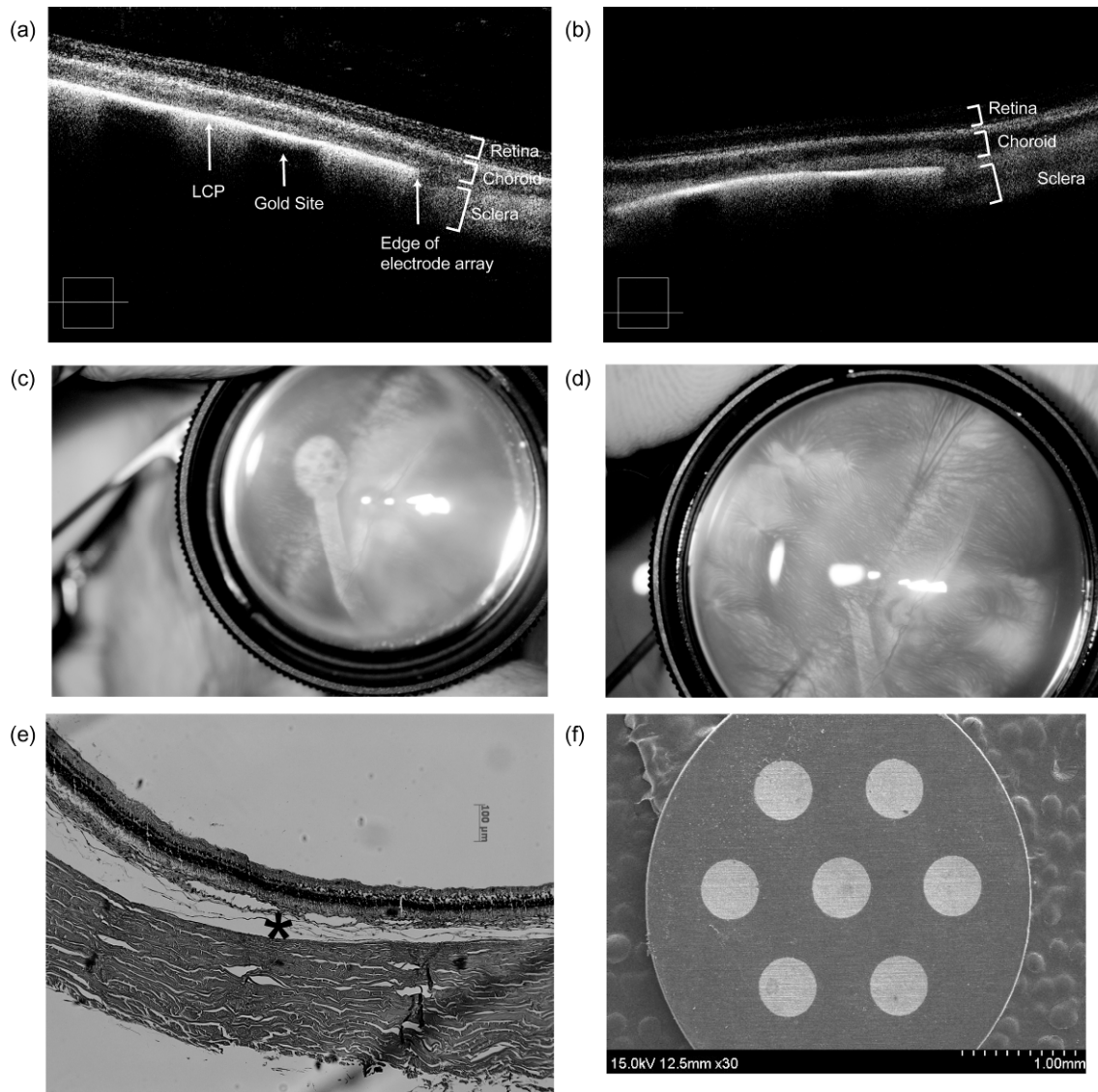
6

7

The implanted electrode arrays were monitored by fundus observation and OCT

1 for 3 months. Subsequently, after 4 months, histological examinations were performed,
2 and FE-SEM images were taken to evaluate array condition. The representative OCT
3 images in Figs. 7(a) and (b) showed that the retina structures containing the LCP based
4 retinal electrode arrays were well preserved during the postoperative 3-month period
5 without observation of any chorioretinal inflammation or structural deformities.
6 Moreover, the fundus images in Figs. 7(c) and (d) showed that the implanted arrays had
7 not migrated, induced haziness, or resulted in vitreous inflammation. The histological
8 examinations (Fig. 7(e)) revealed no evidence of retinal neural cell loss or inflammation
9 around the space where the arrays were implanted after 4 months. The FE-SEM image
10 of the explanted array (Fig. 7(f)) showed no sign of degradation such as delamination of
11 sites or site windows.

12



1

2 Figure 7. Suprachoroidally implanted microelectrode array in the rabbit eye: (a) OCT
 3 image – 2 weeks post-operation, (b) OCT image - 12 weeks post-operation. (c) fundus
 4 image - immediately after operation, (d) fundus image 7 weeks post-operation, (e)
 5 histology of the retina – 16 weeks post operation (* indicates the space where the
 6 microelectrode array was implanted), (f) FE-SEM image of the microelectrode array
 7 explanted after 16 weeks. The retina structures with LCP based microelectrode array

1 were well preserved at the end of the 4 month period. No migration or deformation of
2 the implanted array was found.

3

4 **4. Discussion**

5

6 **4.1. Characterization of the fabrication process**

7 The LCP fabrication process is different from existing polymer fabrication
8 processes for polyimide and parylene. First, LCP is a thermoplastic polymer that is
9 supplied as a thin, film-type product¹⁴. Therefore, no spin-coating and curing processes,
10 which are generally used in thermosetting polymer fabrication, are needed to fabricate
11 the substrate and the insulation layer. Moreover, LCP films can be thermally bonded to
12 other LCP films without adhesives^{10,12,13,15}; accordingly, seamless, monolithic
13 encapsulation of microelectrode arrays is available.

14 Although LCPs are a physically stable and chemically inert material, they has
15 disadvantages in their compatibility with conventional photolithography alignment and
16 plasma dry etching methods. Conventionally, alignment is performed using metal
17 patterned alignment marks on the substrate. However, such marks cannot be observed
18 through an LCP film due to its opacity; thus, a conventional alignment process is not

1 suitable. Moreover, plasma dry etching of LCP results in a slow etching rate and
2 irregular surface morphologies and therefore requires additional time to create smooth
3 site windows and electrode array outlines. To overcome these difficulties, modifications
4 to conventional fabrication procedures were needed.

5 In our work, laser micromachining was fully exploited for improving
6 fabrication productivity. Laser drilled alignment marks were useful for precise
7 alignment, and laser machining produced a fast etching rate with high flexibility.
8 Although laser machining is a serial process, often disadvantageous to batch fabrication,
9 it is suitable for simplified fabrication of LCP material.

10

11 **4.2. Long-term reliability test methodology**

12 A potential source of failure of polymer based electrode arrays is the possibility
13 of high electrical leakage between channels due to water absorption and unstable
14 interfaces. Such failure can occur when the array structure experiences high-humidity
15 environments⁵⁻⁷. Because of moisture and ion influences, the adhesion strength of the
16 electrode array's inter-layer can decrease, allowing electrical leakage through the
17 damaged interface. Once leakage paths are created, inter-electrode cross-talk increases
18 significantly and eventually the electrode arrays would lose stimulation or recording

1 selectivity.

2 In this study, for detection and analysis of such potential failures within a
3 shortened period, we used accelerated soak tests. At temperatures higher than 37°C, the
4 structure degradation process caused by moisture and ion influences may be
5 accelerated⁵⁻⁷. During our 75°C accelerated soak tests, we monitored electrode site
6 impedance and inter-layer adhesion strength to evaluate site and inter-layer reliabilities.
7 However, those measures could not provide direct information about the electrical
8 reliability such as cross-channel leakage. Therefore, we are currently performing
9 experiments to measure electrical current leakage through LCP interfaces using
10 customized multi-interdigitated electrodes (MIDEs). Preliminary results have shown
11 minute (2.1~38 pA) interface leakage currents during a 1 week experiment at 75°C
12 under 5 V DC bias voltage (data not shown). These experimental results support the site
13 impedance and inter-layer strength reported here.

14 Although accelerated soak tests are convenient for fast analysis of possible
15 failure mechanisms, some potential pitfalls should be considered. First of all, the test
16 temperature has to be carefully selected to avoid material transition or decomposition
17 which may not occur under normal temperature conditions. In addition, unknown repair
18 or stabilization processes can occur under accelerated conditions.^{6,7} These are why we

1 performed the soak tests at both temperatures 37°C and 75°C.

2

3 **4.3. Conclusion and future work**

4 In this study, we fabricated and tested a prototype LCP based Au microelectrode
5 array. Although Au was used, other site materials such as Pt and IrOx could be applied
6 to our fabrication process using well established sputtering methods. Similar studies
7 into their long-term reliabilities with LCP substrates will be reported in the future.

8 *In vitro* accelerated reliability tests showed that such LCP based microelectrode
9 arrays have excellent stabilities in a high-humidity environment. Furthermore, even
10 under high temperature (75°C) PBS soak tests, Au site conditions and inter-layer
11 adhesion strengths of the electrode arrays showed no degradation for periods of 9 weeks
12 and 8 weeks, respectively. These results can be explained by the very low moisture
13 absorption (<0.04%) and thermal bondable interface characteristics of LCPs.

14 The feasibility and long-term biocompatibility of LCP based microelectrode
15 arrays were examined by *in vivo* animal experiments including EECPs recording, OCT
16 imaging, and histological examination. Typical EECF waveforms were recorded, and
17 OCT images and histology after 3 months of implantation showed good
18 biocompatibility.

1

2

Acknowledgements

3

4 This paper was supported by the Korea Science and Engineering Foundation
5 (KOSEF) through the Nano Bioelectronics and Systems Research Center (NBS-ERC) at
6 Seoul National University, and by a grant from the Korea Health 21 R&D Project
7 (A050251), Ministry of Health & Welfare, Republic of Korea.

8

9

References

10

- 11 [1] Humayun MS, Weiland JD, Fujii GY, et al. Visual perception in a blind subject with
12 a chronic microelectronic retinal prosthesis. *Vision Res.* 2003;43:2573-2581.
- 13 [2] Walter P, Kisvárdy ZF, Görtz M, et al. Cortical Activation Via an Implanted
14 Wireless Retinal Prosthesis. *Invest Ophthalmol Vis Sci.* 2005;46:1780-1784.
- 15 [3] Seo JM, Kim SJ, Chung H, et al. Biocompatibility of polyimide microelectrode
16 array for retinal stimulation. *J Mater Sci Eng C.* 2004;24:185-189.
- 17 [4] Sachs HG, Schanze T, Wilms M, et al. Subretinal implantation and testing of
18 polyimide film electrodes in cats. *Graefe's Arch Clin Exp Ophthalmol.* 2005;243:464-

- 1 468.
- 2 [5] DEIASI R, Russell J. Aqueous Degradation of Polyimides. *J Appl Polym Sci.*
3 1971;15:2965-2974.
- 4 [6] Murray S, Hillman C, Pecht M. Environmental Aging and Deadhesion of Polyimide
5 Dielectric Films. *J Electron Packag.* 2004;126:390-397.
- 6 [7] Edell DJ. Insulating Biomaterials N01-NS-2-2347. *NINDS Quarterly Progress*
7 *Report.* 2002.
- 8 [8] Jayaraj K, Farrell B, Liquid crystal polymers and their role in electronic packaging.
9 *Adv Microelectron.* 1998;25:15-18.
- 10 [9] Culbertson EC, A New Laminate Material for High Performance PCBs: Liquid
11 Crystal Polymer Copper Clad Films. *Electronic Components and Technology*
12 *Conference Proceedings.* 1995;1995:520-523.
- 13 [10] Wang X, Engel J, Liu C, Liquid crystal polymer (LCP) for MEMS: process and
14 applications. *J Micromech Microeng.* 2003;13:628-633.
- 15 [11] Lee CJ, Oh SJ, Song JK, et al. Neural signal recording using microelectrode arrays
16 fabricated on liquid crystal polymer material, *J Mater Sci Eng C.* 2004;24:265-268.
- 17 [12] Keesara VV, Durand DM, Zorman CA. Fabrication and Characterization of
18 Flexible, Microfabricated Neural Electrode Arrays Made from Liquid Crystal Polymer

- 1 and Polynorbornene. *Materials Research Society Symposium Proceedings 926E*, 2006:
2 0926-CC06-04.
- 3 [13] Thompson DC, Tentzeris MM, Papapolymerou J. Packaging of MMICs in
4 Multilayer LCP Substrates, *IEEE Microw Wireless Compon Lett.* 2006;16:410-412.
- 5 [14] Dean RN, Weller J, Bozack MJ, et al. Realization of Ultra Fine Pitch Traces on
6 LCP Substrates, *IEEE Trans Compon Packag Tech.* 2008;31:315-321.
- 7 [15] Rogers Corporation, R/flex 3000 Series Liquid Crystal Polymer Circuit Materials
8 Fabrication Guidelines, (2005) Available online at <http://www.rogerscorporation.com>
- 9 [16] Wang C. Measurement of Interfacial Strength from the Blister Test. *J Appl Polym*
10 *Sci.* 1999;73:1899-1912.
- 11 [17] Sakaguchi H, Fujikado T, Fang X, et al. Transretinal Electrical Stimulation with a
12 Suprachoroidal Multichannel Electrode in Rabbit Eyes. *Jpn J Ophthalmol.*
13 2004;48:256-261.
- 14 [18] Kim ET, Seo JM, Woo SJ, et al. Fabrication of Pillar Shaped Electrode Arrays for
15 Artificial Retinal Implants. *Sensors.* 2008;8:5845-5856.
- 16 [19] Loeb GE, Byers CL, Rebscher SJ, et al. Design and fabrication of an experimental
17 cochlear prosthesis. *Med & Biol Eng & Comput.* 1983;21:241-254.
- 18 [20] Dorman MF, Smith LM, Dankowski K, et al. Long-Term Measures of Electrode

- 1 Impedance and Auditory Thresholds for the Ineraid Cochlear Implant. *J Speech Hear*
- 2 *Res.* 1992;35:1126-1130.
- 3 [21] Li L, Parkins CW, Webster DB. Does electrical stimulation of deaf cochleae
- 4 prevent spiral ganglion degeneration?. *Hear Res.* 1999;133:27-39.
- 5 [22] Cui X, Wiler J, Dzaman M, et al. In vivo studies of polypyrrole/peptide coated
- 6 neural probes. *Biomaterials.* 2003;24:777-787.
- 7

Figure Legends

1

2

3 Figure 1. (a) Representative schematic of LCP based microelectrode array fabrication
4 process. Laser machining was utilized for patterning the substrate and cover films, and
5 for cutting the electrode array outlines. Thermal-press bonding was performed to create
6 the LCP multi-layered structure. Total thickness of the structure is controllable from 50
7 to 75 μm with a 25 μm -thick additional substrate. (b) Schematic diagram of LCP based
8 retinal electrode array. This structure has 7 stimulation sites and 1 reference site. The
9 diameters of the stimulation site and reference site windows are 500 μm and 1400 μm ,
10 respectively.

11

12 Figure 2. *In vitro* reliability tests: (a) schematic diagram of electrode site impedance
13 measurement apparatus, (b) schematic diagram of electrode site arrangement showing
14 channel numbers 1-4 and the reference electrode, (c) cross sectional diagram showing
15 layers in the blister test samples for determination of adhesion strength between
16 LCP/LCP and Ti/LCP interfaces, (d) photographs of samples for blister testing, (e)
17 conceptual diagram of soak and blister testing process, and (f) photograph of blister test
18 apparatus.

1

2 Figure 3. Photographs of LCP based Au microelectrode array: (a) FE-SEM image of
3 microelectrode array (Top view) and (b) oblique view of a portion of the array edge, (c)
4 500 μm diameter Au site and (d) a portion of the Au site window edge. Laser machined
5 site windows and structure outlines exhibited clear, smooth, and rounded edge features.
6 (e) a photograph of the overall structure.

7

8 Figure 4. Electrode site impedance monitoring data: (a) magnitude of impedance of Au
9 electrodes on LCP under 37°C PBS soak test, and (b) magnitude of impedance at 75°C.
10 The electrode impedance showed initial drop before reaching steady values. The
11 impedance from the 75°C soak stabilized more quickly than that from the 37°C soak.
12 After the stabilization period, the impedance of each of the electrode sites was
13 maintained over the 8 weeks and there was no marked differences between the soak test
14 results from the two soak temperatures.

15

16 Figure 5. Blister test results: (a) initial adhesion strengths without soaking, (b) adhesion
17 of LCP/LCP and Ti/LCP interfaces under 37°C PBS soak test, (c) adhesion of LCP/LCP
18 and PI/PI interfaces under 75°C PBS soak test, and (d) adhesion of Ti/LCP and Ti/PI

1 interfaces under 75°C PBS soak test. The measurement limit (1.1 MPa) was the upper
2 limit of applied pressure in the test apparatus. Error bars represent ± 1 standard error (N
3 = 5). The data show that the LCP/LCP and Ti/LCP interfaces were stronger and more
4 reliable than the PI/PI and Ti/PI interfaces during the 8 week soak test.

5

6 Figure 6. EECPs recording: (a) Representative EECP waveforms measured in visual
7 cortex of a rabbit, (b) relationship between stimulation intensity and the first positive
8 peak amplitude. The first positive peak (P1) was clearly observed and its implicit time
9 was 26 ms. And, P1 had a nearly linear relationship with the stimulation amplitude.

10

11 Figure 7. Suprachoroidally implanted microelectrode array in the rabbit eye: (a) OCT
12 image – 2 weeks post-operation, (b) OCT image - 12 weeks post-operation. (c) fundus
13 image - immediately after operation, (d) fundus image 7 weeks post-operation, (e)
14 histology of the retina – 16 weeks post operation (* indicates the space where the
15 microelectrode array was implanted), (f) FE-SEM image of the microelectrode array
16 explanted after 16 weeks. The retina structures with LCP based microelectrode array
17 were well preserved at the end of the 4 month period. No migration or deformation of
18 the implanted array was found.